

Patent

Attorney Docket No. MTI-31041

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31041	09/816,962
	Applicant	Confirmation No.
	Ping et al.	8677
	Filing Date	Group Art Unit
	03/23/2001	2814

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent No.	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
MR	5,397,909	03-1995	Moslehi, Mehrdad M.	257	383	
	6,232,641	05-2001	Miyano et al.	257	382	
	6,506,649	01-2003	Fung et al.	438	300	
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	6,143,608	11-2000	He et al.	438	264	
MR	6,051,473	04-2000	Ishida et al.	438	300	

## PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initial	Patent No.	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
MR	2002/0072181	06-2002	Tseng, Horng-Huei	438	300	

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial	Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial	Non-Patent Document

Examiner Initials	MR	Date Considered	08/22/04
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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Title of Invention	Method for Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer																																																																																																																														
<p>Application Number: 09/816962 </p> <p>Confirmation Number: 8677</p> <p>First Named Applicant: Er-Xuan Ping</p> <p>Attorney Docket Number: MTI-31041</p> <p>Art Unit: 2812</p> <p>Examiner: Angel Roman</p> <p>Search string: ( 5483094 or 5574299 or 5595920 or 5612230 or 5612563 or 5627395 or 5780327 or 5886382 or 5872374 or 5994735 or 6037202 or 6268621 or 6448129 or 6455377 or 20020093054 ).pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>MR</td><td>1</td><td>5483094</td><td>1996-01-09</td><td>Sharma et al.</td><td></td><td>257</td><td>316</td></tr><tr><td></td><td>2</td><td>5574299</td><td>1996-11-12</td><td>Kim</td><td></td><td>257</td><td>296</td></tr><tr><td></td><td>3</td><td>5595920</td><td>1997-01-21</td><td>Miyawaki et al.</td><td></td><td>437</td><td>40</td></tr><tr><td></td><td>4</td><td>5612230</td><td>1997-03-18</td><td>Yuzurihara et al.</td><td></td><td>437</td><td>21</td></tr><tr><td></td><td>5</td><td>5612563</td><td>1997-03-18</td><td>Fitch et al.</td><td></td><td>257</td><td>329</td></tr><tr><td></td><td>6</td><td>5627395</td><td>1997-05-06</td><td>Witek et al.</td><td></td><td>257</td><td>350</td></tr><tr><td></td><td>7</td><td>5780327</td><td>1998-07-14</td><td>Chu et al.</td><td></td><td>438</td><td>156</td></tr><tr><td></td><td>8</td><td>5886382</td><td>1999-03-23</td><td>Witek</td><td></td><td>257</td><td>329</td></tr><tr><td></td><td>9</td><td>5872374</td><td>1999-02-16</td><td>Tang et al.</td><td></td><td>257</td><td>328</td></tr><tr><td></td><td>10</td><td>5994735</td><td>1999-11-30</td><td>Maeda et al.</td><td></td><td>257</td><td>329</td></tr><tr><td></td><td>11</td><td>6037202</td><td>2000-03-14</td><td>Witek</td><td></td><td>438</td><td>212</td></tr><tr><td></td><td>12</td><td>6268621</td><td>2001-07-31</td><td>Emmi et al.</td><td>B1</td><td>257</td><td>302</td></tr><tr><td></td><td>13</td><td>6448129</td><td>2002-09-10</td><td>Cho et al.</td><td>B1</td><td>438</td><td>241</td></tr><tr><td>MR</td><td>14</td><td>6455377</td><td>2002-09-24</td><td>Zheng et al.</td><td>B1</td><td>438</td><td>268</td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	MR	1	5483094	1996-01-09	Sharma et al.		257	316		2	5574299	1996-11-12	Kim		257	296		3	5595920	1997-01-21	Miyawaki et al.		437	40		4	5612230	1997-03-18	Yuzurihara et al.		437	21		5	5612563	1997-03-18	Fitch et al.		257	329		6	5627395	1997-05-06	Witek et al.		257	350		7	5780327	1998-07-14	Chu et al.		438	156		8	5886382	1999-03-23	Witek		257	329		9	5872374	1999-02-16	Tang et al.		257	328		10	5994735	1999-11-30	Maeda et al.		257	329		11	6037202	2000-03-14	Witek		438	212		12	6268621	2001-07-31	Emmi et al.	B1	257	302		13	6448129	2002-09-10	Cho et al.	B1	438	241	MR	14	6455377	2002-09-24	Zheng et al.	B1	438	268
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## US Published Applications

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init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
AL	1	20020093054	2002-07-18	Yeh et al.	A1	257	351

Signature

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AMH	08/22/04



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